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#### (57) Abstract

Immunogenic chimeric proteins comprising an endoplasmic reticulum signal sequence and at least one other peptide are disclosed. The invention relates to the design of vaccinia virus constructs capable of directing host organism synthesis of immunogenic chimeric proteins which can be used as immunogens, as vaccines, or in methods of treatment for cancer, infectious diseases, or autoimmune diseases.

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## Title of the Invention

IMMUNOGENIC CHIMERAS COMPRISING NUCLEIC ACID
SEQUENCES ENCODING ENDOPLASMIC RETICULUM SIGNAL
SEQUENCE PEPTIDES AND AT LEAST ONE OTHER PEPTIDE,
AND THEIR USES IN VACCINES AND DISEASE TREATMENTS

## Field of Invention

The present invention is in the field of immunotherapy. More specifically, the invention relates to use in vivo of immunogenic chimeric proteins comprising an endoplasmic reticulum signal peptide and at least one other peptide as immunogens in vaccines and in methods of treatment for cancer, viral infections, bacterial infections, parasitic infections or autoimmune diseases in mammals.

## Background of Invention

The establishment of immunotherapies based on 15 thymus - derived lymphocytes (T cells) as a treatment modality for cancer and other diseases in humans is an area of considerable research interest (Oethgen, H.F. et al. (1991) in Biologic Therapy of Cancer: eds.: DeVita, V.T. Jr., Hellman, S., Rosenberg, S.A. J.B. Lippincott, p. 20 87). A major hindrance to the development of effective T cell-based immunotherapies is that antigen presentation on the surface of cells is often inadequate to elicit a T cell response to the antigen. Thus, a major aim of researchers in fields such as cancer biology, virology and 2.5 immunology is the development of methods which enhance the presentation of antigens to T cells. In order to better understand the present invention, a brief review of how T cells recognize, or fail to recognize, antigens is presented below (see also Restifo, N.P Biologic Therapy of 30 Cancer Updates 2:1-10 (1992); Yewdell, J.W. Adv. in Immunology 52:1-123(1992)).

Unlike B cells which can recognize antigens not presented in the context of other molecules, T cells can only recognize antigens in the context of a major histocompatibility complex (MHC) on the surface of a

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In particular, two types of MHC molecules target cell. exist and each type, noncovalently linked with antigenic peptides, constitutes a ligand for different subsets of T cells. More specifically, class I MHC/peptide complexes are recognized by CD8<sup>+</sup> T cells while class II MHC/peptide complexes are recognized by CD4+ T cells. Of interest to researchers involved in the development of T cell based immunotherapies, CD8<sup>+</sup>T cells, sometimes termed cytotoxic T lymphocytes or CTLs, have been demonstrated to be capable of directly killing target cells presenting a class I/peptide complex on their cell surface and of secreting cytokines which may signal for the destruction of these target cells. These properties of CD8<sup>+</sup>T cells have stimulated numerous investigators to focus on the study of the processes leading to the formation of class I/peptide complexes within target cells and the subsequent presentation of these complexes on the surface of the target cells in order to better understand the molecular apparati involved in the presentation of peptides to CD8<sup>+</sup>T To date, although the processes involved in the cleavage and transport of peptides that are bound by class I MHC molecules are only now being characterized, some details are known.

In brief, the generation of antigenic peptides for class I molecules from cytosolic proteins (Tevethia, 25 S. S., et al. Virology 107:13-23 (1980); Bennink, J. R., et al. Nature 296:75-76 (1982); Yewdell, J. W., et al. Proc. Natl. Acad. Sci. USA 82:1785-1789 (1985); Yewdell, J. W., et al. Science 239:637-640 (1988); Townsend, A. R. M., et al. Cell 39, 13-25 (1984)) is achieved by unknown 30 cytosolic proteases. Once formed in the cytosol, these peptides are then delivered to the endoplasmic reticulum (ER) via a process which requires the presence of two MHC encoded gene products termed TAP 1 and TAP 2 (Deverson, E., et al. Nature 348:738-741 (1990); Trowsdale, J., et 35 al. 348:741-744 (1990); Spies, T., et al. Nature 348:744-

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747 (1990); Monaco, J. J., et al. Science 250:1723-1726 (1990)). In the ER, the peptides associate noncovalently with class I MHC molecules to form a class I MHC/peptide complex which is then transported to the cell surface. The class I/peptide complex presented on the cell surface 5 is now capable of serving as a ligand for cell surface receptors on CD8+ T cells and hence, of eliciting a T cell response against the presented peptide. Due to the complexity of the processing pathways which ultimately results in antigen presentation to CD8+ T cells, 10 deficiencies in expression of any of the components of the antigen processing pathways outlined above might be expected to result in reduced presentation of antigen to CTLs.

Recent studies by both Eisenlohr et al. (Cell 15 71:963-972 (1992)) and Anderson et al (J. Exp. Med. 174:489-492 (1991)) have demonstrated that although presentation of antigens to CTLs is dramatically reduced in a cell line having deletions in the genes encoding TAP 1 and TAP 2 relative to that observed in control cells, 20 efficient antigen presentation in a TAP-deficient cell line could be achieved via transfection of these cells with "minigenes" in which the antigenic peptide was placed immediately carboxy-terminal to an ER signal sequence. Such signal sequences are generally found at the NH2 -25 terminus of proteins and their function is to target such proteins to the ER membrane. It should be noted however that the enhancing effect of the ER signal sequence on antigen presentation observed in these studies was not noted in control cells and was therefore, only observed in 30 in vitro transfection or infection of a TAP-deficient cell line. However, evidence supporting the idea that the presentation of antigens processed from the cytosol might be limiting in vivo was recently provided by the observation by other investigators that TAP 1 and TAP 2 35 expression is enhanced following exposure of cells to

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gamma - interferon (Trowsdale, J., et al. Cell, 348:741-744 (1990). This result suggested that TAP-mediated peptide delivery can be limiting <u>in vivo</u> as well as <u>in vitro</u> and that therefore, methods which could enhance the transport of peptides <u>in vivo</u>, or bypass transport activity entirely, might result in enhanced presentation of peptides to T cells.

## Summary of Invention

the present invention includes immunogenic chimeric proteins comprising an endoplasmic reticulum signal sequence peptide and at least one other peptide.

Immunogenic chimeric proteins are used <u>in vivo</u> to elicit specific T cell response.

The invention relates to synthetic nucleic acid sequence capable of directing production of immunogenic chimeric protein as well as equivalent natural nucleic acid sequences. For the purposes of this application, nucleic acid sequence refers to RNA, DNA, cDNA or any synthetic variant thereof which encodes immunogenic chimeric protein.

The invention also relates to a vaccine for immunizing a mammal against cancer, viral infection, bacterial infection, parasitic infection or autoimmune disease comprising an immunogenic chimeric protein or a nucleic acid sequence encoding said immunogenic chimeric protein in a pharmaceutically acceptable carrier.

The invention also provides pharmaceutical compositions for the prevention or treatment of mammals afflicted with cancer, viral infection, bacterial infection, parasitic infection or autoimmune disease where said pharmaceutical compositions comprise immunogenic chimeric protein or nucleic acid sequence encoding said immunogenic chimeric protein in a suitable diluent or carrier.

The invention further relates to a method for treating cancer, viral infection, bacterial infection,

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parasitic infection or autoimmune disease comprising:

(a) immunizing mammals with an amount of immunogenic chimeric protein or nucleic acid sequence encoding said immunogenic chimeric protein, said amount effective to elicit a specific T cell response;

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- (b) isolating said T cells from said immunized mammals; and
- (c) administering said T cells to said immunized mammal or to an unimmunized mammal in a therapeutically effective amount.

## Description of Figures

Figure 1 shows the construction of the vaccinia virus (VV) construct used to express immunogenic chimeric protein comprising the adenoviral E3/19K signal sequence peptide and another peptide of choice.

Figure 2 shows the results of <sup>51</sup>Cr release assays in which splenocytes derived from mice immunized with various vaccinia viruses (right-hand side of figure) were incubated at different effector:target (E:T) ratios with P815 target cells (left panel), P815 cells pulsed with the synthetic peptide NP147-155 (middle panel) or P815 cells infected with wild-type vaccinia virus (VV) (right panel).

Figs. 3A and 3B show the results of <sup>51</sup>Cr release assays in which splenocytes derived from mice immunized sequentially (to allow CD8<sup>+</sup> T cell activity to be measured in a single assay) with vaccinia virus VV-ESNP 147-155 (Fig. 3A) or VV-NP (Fig. 3B) were incubated at various effector:target (E:T) ratios with P815 target cells pulsed with synthetic peptide NP 147-155 (open triangles) or with

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Figure 4 shows the results of <sup>51</sup>Cr release assays in which splenocytes derived from mice immunized with varying doses of vaccinia virus VV-NP (left panels) or VV-ES NP 147-155 (right panels) were incubated at various effector:target (E:T) ratios with P815 cells infected with VV-NP (top panels) or with wild type -VV (bottom panels).

Figure 5 shows the results of <sup>51</sup>Cr release assays in which splenocytes derived from mice immunized with vaccinia virus VV-ESP1A (closed circles), VV-P1A (open triangles) or VV-ESNP (closed triangles) were incubated at various effector:target (E:T) ratios with CT26 target cells (left panel), CT26 cells pulsed with P1A peptide (middle panel) or P815 cells (right panel).

Figure 6 shows the results of <sup>51</sup>Cr release assays in which splenocytes derived from mice immunized with the recombinant vaccinia virus VV-ES NP 147-155 (closed circles) or VV-NP (open triangles) were subsequently cocultured with autologous cells (Restifo, N.P. et al. J. of Immunol. 47:1453-1459 (1991)) infected with influenza virus prior to incubating these splenocytes at the indicated dilutions with P815 target cells pulsed with the synthetic peptide NP 147-155.

Figure 7 shows the results of <sup>51</sup>Cr release assays in which splenocytes derived from mice immunized with either vaccinia virus VV-ES VSV 52-59 (top row), VV-ES OVA257-264 (middle row) or both viruses mixed together (bottom row) were incubated with RMA-S target cells (left column), RMA-S cells pulsed with peptide VSV 52-59 (middle column) or RMA-S cells pulsed with peptide OVA 257-264 (right column).

### Detailed Description Of Invention

The present invention relates to immunogenic chimeric proteins comprising an endoplasmic reticulum (ER) signal sequence peptide and at least one other peptide. For the purposes of the present invention, "signal sequence peptide" refers to amino acid sequences of about

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15 to about 25 amino acids in length which are known in the art to be generally located at the amino terminus of proteins and which are capable of targeting said proteins to the endoplasmic reticulum. In a preferred embodiment, the signal sequence peptide used is derived from the adenovirus type 5, E3/19 K gene product (Persson, H. et al Proc. Natl. Acad Sci. USA 77:6349-6353 (1980)) and is shown as SEQ ID NO: 1

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Met Arg Tyr Met Ile Leu Gly Leu Leu Ala Leu Ala Val Cys Ser Ala

However, those skilled in the art would readily appreciate that many other signal sequence peptides are known (van Heijne, G., J. Mol. Biol. 184:99-105 (1985)) and that these peptide sequences or analogues thereof can be substituted for SEQ ID NO:1 in the immunogenic chimeric protein of the present invention.

By "other peptide", as used throughout the specification and the claims, denotes that a peptide is immunogenic when used as part of an immunogenic chimeric protein containing an ER signal sequence peptide; the "other peptide" by itself may or may not be immunogenic. In one embodiment, the other peptide can range from about 5 to about 1000 amino acids in length and may be derived from a tumor cell, virus, bacteria, or parasite, or it may be associated with an autoimmune disease.

In a preferred embodiment, the other peptide is about 8 to 10 amino acids in length. Examples of such peptides include, but are not limited to, tumor peptides, such as the adenovirus E1A peptide (Kast et al. Cell, 59:603-614 (1989)) shown as SEQ ID NO: 2

Ser Gly Pro Ser Asn Thr Pro Pro Glu Ile; the SV40 T antigen peptide (Gould et al. J. Virol., 65:5401-5409 (1991)) shown as SEQ ID NO: 3

Ser Glu Phe Leu Leu Glu Lys Arg Ile; and viral peptides such as the Epstein Barr virus antigen peptide (Burrows, S.R. et al. Eur J. Immunol. 22:191-

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195(1992)) shown as SEQ ID NO: 4

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Phe Leu Arg Gly Arg Ala Tyr Gly Ile; and influenza virus A/PR/8/34 nucleoprotein peptide NP 147-153 (Rotzscke, O. et al. Nature 348:252-254 (1990)) shown as SEQ ID NO: 5

Thr Tyr Gln Arg Thr Arg Ala Leu Val.

The exemplary tumor peptide is P1A derived from P815 mastocytoma cells (Lethé, B., Eur J. Immunol., 22:2283-2288 (1992)). The P1A sequence is shown as SEQ ID NO: 6

Leu Pro Tyr Leu Gly Trp Leu Val Phe.

In the present invention, the order in which the signal sequence peptide and other peptide are arranged within the immunogenic chimeric protein can be varied. In one embodiment, the other peptide precedes, or is aminoterminal to, the signal sequence peptide. In a preferred embodiment, the signal sequence peptide is amino terminal to the other peptide. Regardless of the order in which they are arranged, the signal sequence peptide and the other peptide may be separated by zero to about 1000 amino acids. In a preferred embodiment, the signal sequence peptide and the other peptide are directly adjacent to each other, i.e. separated by zero amino acids.

In yet another embodiment, multiple copies of the other peptide may be contained within an immunogenic chimeric protein. The number of copies of said other peptide can range from 2 to about 100. A preferred number of copies is from about 2 to about 10. In a preferred embodiment, the signal sequence peptide is amino terminal to the multiple copies of the other peptide and these multiple copies are arranged in a continuous uninterrupted manner.

In a further embodiment, several different other peptides can be contained in an immunogenic chimeric protein with the number of different other peptides ranging from two to about ten. In a preferred embodiment,

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these other peptides are preceded by a signal sequence peptide and are arranged in a continuous, uninterrupted manner with the order in which the other peptides are arranged being variable.

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Immunogenic chimeric proteins of the present invention may be provided as a synthetic polypeptide or as a protein synthesized from a nucleic acid sequence encoding the immunogenic chimeric protein.

In one embodiment, a synthetic immunogenic chimeric protein may be synthesized based on the known amino acid sequences of the signal sequence peptide and the other peptide which are to be contained within the immunogenic chimeric protein. The amino acid sequence of a preferred immunogenic chimeric protein comprising ER signal sequence amino terminal to the P1A tumor peptide is shown as SEQ ID NO 7:

Met Arg Tyr Met Ile Leu Gly Leu Leu Ala Leu Ala Ala Val Cys Ser Ala Ala Leu Pro Tyr Leu Gly Trp Leu Val Phe Those skilled in the art would be aware that immunogenic chimeric proteins ranging from about 25 to about 100 amino acids in length can be synthesized by automated instruments sold by a variety of manufacturers or they can be custom ordered and prepared.

In another embodiment, immunogenic chimeric protein can be expressed from nucleic acid sequences where such sequences can be DNA, cDNA, RNA or any variant thereof which is capable of directing protein synthesis.

In one embodiment, restriction digest fragments containing a coding sequence for a signal sequence peptide and the other peptide respectively, can be ligated together and inserted into a suitable expression vector that functions in prokaryotic or eukaryotic cells. Such restriction digest fragments may be obtained from clones isolated from prokaryotic or eukaryotic sources which encode either signal sequence peptide or the other peptide.

By suitable expression vector is meant a vector

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that is capable of carrying and expressing a complete nucleic acid sequence coding for immunogenic chimeric protein.

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Such vectors include any vectors into which a nucleic acid sequence as described above can be inserted, along with any preferred or required operational elements, and which vector can then be subsequently transferred into a host organism and replicated in such organism.

Preferred vectors are those whose restriction sites have been well documented and which contain the operational elements preferred or required for transcription of the nucleic acid sequence.

The "operational elements" as discussed herein include at least one promoter, at least one operator, at least one leader sequence, at least one determinant, at least one terminator codon, and any other DNA sequences necessary or preferred for appropriate transcription and subsequent translation of the vector nucleic acid. In particular, it is contemplated that such vectors will contain at least one origin of replication recognized by the host organism along with at least one selectable marker and at least one promoter sequence capable of initiating transcription of the nucleic acid sequence.

To construct the cloning vector of the present invention, it should additionally be noted that multiple copies of the nucleic acid sequence encoding immunogenic chimeric protein and its attendant operational elements may be inserted into each vector. In such an embodiment, the host organism would produce greater amounts per vector of the desired immunogenic chimeric protein. In a similar fashion, multiple different immunogenic chimeric proteins may be expressed from a single vector by inserting into the vector a copy (or copies) of nucleic acid sequence encoding each immunogenic chimeric protein and its attendant operational elements. In yet another embodiment, a polycistronic vector in which multiple

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immunogenic chimeric proteins (either identical in sequence or different) may be expressed from a single vector is created by placing expression of each immunogenic chimeric protein under the control of an internal ribosomal entry site (IRES) (Molla A. et al Nature 356:255-257 (1992); Jang S.K. et al J. of Virol. 263:1651-1660(1989)). The number of multiple copies of the DNA sequence encoding immunogenic chimeric protein which may be inserted into the vector is limited only the ability of the resultant vector due to its size, to be transferred into and replicated and transcribed in an appropriate host organism.

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Preferred expression vectors are those that function in a eukaryotic cell. Examples of such vectors include but are not limited to vaccinia virus, adenovirus or herpes viruses. Most preferred vectors are vaccinia viruses. Example 1 describes the construction of vaccinia virus construct, VV-ESNP147-155, used in the present invention.

In yet another embodiment, a synthetic oligonucleotide encoding ER chimeric protein may be synthesized and subcloned into a suitable expression vector. A preferred oligonucleotide sequence is shown as SEQ ID NO: 8.

25 ACC ACC ATG TAC ATG ATT TTA GGC TTG CTC GCC CTT GCG GCA
GTC TGC AGC GCG GCC CTG CCT TAT CTA GGG TGG CTG GTC TTC
TGA TAG

Those skilled in the art would readily appreciate that oligonucleotides can be synthesized by automated instruments sold by a variety of manufacturers or they can be customer ordered and prepared.

Once a nucleic acid sequence encoding immunogenic chimeric protein is present in a suitable expression vector, the expression vector may then be used for purposes of expressing the immunogenic chimeric protein in a suitable eukaryotic cell system. Such

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eukaryotic cell systems include but are not limited to cell lines such as HeLa, L929, T2 or RMA-S. Preferred eukaryotic cell systems are T2 and RMA-S. One preferred method involves use of vaccinia virus constructs to transfect T2 or RMA-S cell lines. The expressed immunogenic chimeric protein may be detected by methods known in the art such as metabolic radiolabelling.

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In a further embodiment, the immunogenic chimeric protein expressed by the cells can be obtained as crude lysate or it can be purified by standard protein purification procedures known in the art which may include differential precipitation, molecular sieve chromatography, ion-exchange chromatography, isoelectric focusing, gel electrophoresis, affinity, and immunoaffinity chromatography and the like. In the case of immunoaffinity chromatography, the immunogenic chimeric protein may be purified by passage through a column containing a resin which has bound thereto antibodies specific for the immunogenic chimeric protein.

The present invention also provides a method of immunization comprising administering an amount of the immunogenic chimeric protein effective to elicit a T cell response to the other peptide. Such T cell response can be measured by a variety of assays including  $^{51}$ Cr release assays (Restifo, N.P. J of Exp. Med., 177:265-272(1993)). The T cells capable of producing such a cytotoxic response may be CD8<sup>+</sup> T cells (CTL<sub>5</sub>), CD4<sup>+</sup> T cells or both.

The immunogenic chimeric protein can be administered in a pure or substantially pure form but it is preferable to present it as a pharmaceutical composition, formulation or preparation. Such formulation comprises an immunogenic chimeric protein together with one or more pharmaceutically acceptable carriers and optionally other therapeutic ingredients. The formulations may conveniently be presented in unit dosage form and may be prepared by an method well-known in the

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pharmaceutical art.

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All the methods include the step of bringing into association the active ingredient with the carrier which constitutes one or more accessory ingredients. In general, the formulations are prepared by uniformly and intimately bringing into association the active ingredient with liquid carriers or finely divided solid carriers or both, and then, if necessary, shaping the product into the desired formulation.

Formulations suitable for intravenous, 10 intramuscular, subcutaneous, or intraperitoneal administration conveniently comprises sterile aqueous solutions of the active ingredient with solutions which are preferably isotonic with the blood of the recipient. Such formulations may be conveniently prepared by 15 dissolving solid active ingredient in water containing physiologically compatible substances such as sodium chloride (e.g. 0.1-2.0M), glycine, and the like, and having a buffered pH compatible with physiological conditions to produce an aqueous solutions, and rendering 20 said solution sterile. These may be present in unit or multi-dose containers, for example, sealed ampoules or vials.

The formulations of the present invention may incorporate a stabilizer. Illustrative stabilizers are polyethylene glycol, proteins, saccharide, amino acids, inorganic acids, and organic acids which may be used either on their own or as admixtures. These stabilizers are preferably incorporated in an amount of 0.11-10,000 parts by weight per part by weight of antibody. If two or more stabilizers are used in aqueous solutions at the appropriate concentration and pH. The specific osmotic pressure does such aqueous solution is generally in the range of 0.1-3.0 osmoses, preferably in the range of 0.80-1.2. The pH of the aqueous solution is adjusted to be within the range of 5.0-9.0, preferably within the range

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of 6-8. In formulating the immunogenic chimeric protein of the present invention, anti-adsorption agent may be used.

Additional pharmaceutical methods may be employed to control the duration of action. Controlled release preparations may be achieved through the use of polymer to complex or absorb the proteins or their The controlled delivery may be exercised by derivatives. selecting appropriate macromolecules (for example polyester, polyamine acids, polyvinyl, pyrrolidone, ethylenevinylacetate, methylcellulose, carboxymethylcellulose, or protamine sulfate) and the concentration of macromolecules as well as the methods of incorporation in order to control release. Another possible method to control the duration of action by controlled-release preparations is to incorporate the proteins, protein analogs, or their functional derivatives, into particles of a polymeric material such as polyesters, polyamine acids, hydrogels, poly(lactic acid) or ethylene vinylacetate copolymers.

Alternatively, instead of incorporating these agents into polymeric particles, it is possible to entrap these materials in microcapsules prepared, for example, by coacervation techniques or by interfacial polymerization, for example, hydroxymethylcellulose or gelatin-microcapsules and poly(methylmethacylate) microcapsules, respectively, or in colloidal drug delivery systems, for example, liposomes, albumin microspheres, microemulsions, nanoparticles, and nanocapsules or in macroemulsions.

When oral preparations are desired, the compositions may be combined with typical carriers, such as lactose, sucrose, starch, talc magnesium stearate, crystalline cellulose, methyl cellulose, carboxymethyl cellulose, glycerin, sodium alginate or gum arabic among others.

In yet another embodiment, the method of

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immunization may comprise administering a nucleic acid sequence capable of directing host organism synthesis of immunogenic chimeric protein in an amount effective to elicit a T cell response. Such nucleic acid sequence may be inserted into a suitable expression vector by methods known to those skilled in the art (Figure 1). Expression vectors suitable for producing high efficiency gene transfer in vivo include retroviral, adenoviral and vaccinia viral vectors. Operational elements of such expression vector are disclosed previously in the present specification and are known to one skilled in the art. A preferred vector is vaccinia virus. An expression vector containing nucleic acid sequence capable of directing host cell synthesis of immunogenic chimeric protein can be administered in a pure or substantially pure form or as a complex with a substance having affinity for nucleic acid and an internalizing factor bound to the substance having affinity for nucleic acid. (Wu G. et al. J. Biol. Chem 262:4429-4432 (1987); Wagner E. et al. Proc. Natl. Acad Sci. USA 87:3655-3659 (1990)). A preferred substance having affinity for nucleic acid is a polycation such as polylysine. Internalizing factors include ligands having specificity for receptors present on the surface of immunogen presenting cells such as macrophages, lymphocytes, B cells, dendritic cells or Langerhans cells. Preferred internalizing factors include but are not limited to transferrin and antibodies specific to immunogen presenting cells.

Expression vectors containing a nucleic acid sequence encoding immunogenic chimeric protein can be administered intravenously, intramuscularly, subcutaneously, intraperitoneally or orally. A preferred route of administration is intravenously.

The immunogenic chimeric proteins and expression vectors containing nucleic acid sequence capable of directing host organism synthesis of immunogenic chimeric

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proteins may be supplied in the form of a kit, alone, or in the form of a pharmaceutical composition as described above.

The present invention also relates to a vaccine for immunizing a mammal against cancer, viral infection, bacterial infection, parasitic infection, or autoimmune disease, comprising an immunogenic chimeric protein or an expression vector containing nucleic acid sequence capable of directing host organism synthesis of immunogenic chimeric protein in a pharmaceutically acceptable carrier. In an alternative embodiment, multiple expression vectors, each containing nucleic acid sequence capable of directing host organism synthesis of a different immunogenic chimeric proteins, may be administered as a polyvalent vaccine.

Vaccination can be conducted by conventional For example, an immunogenic chimeric protein can be used in a suitable diluent such as saline or water, or complete or incomplete adjuvants. Further, the immunogenic chimeric protein may or may not be bound to a carrier to make the protein more immunogenic. Examples of such carrier molecules include but are not limited to bovine serum albumin (BSA), keyhole limpet hemocyanin (KLH), tetanus toxoid, and the like. The immunogenic chimeric protein can be administered by any route appropriate for eliciting T cell response such as intravenous, intraperitoneal, intramuscular, subcutaneous, and the like. The immunogenic chimeric protein may be administered once or at periodic intervals until a T cell response is elicited. Doses of immunogenic chimeric protein effective to elicit a T cell response range from about 0.00001 to about 10 mg/kg. Doses of immunogenic chimeric protein-encoding expression vector effective to elicit a T cell response range from about 105 to about 107 plaque forming units. T cell response may be detected by a variety of methods known to those skilled in the art,

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including but not limited to, cytotoxicity assay, proliferation assay and cytokine release assays.

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The present invention also includes a method for treating cancer, viral infection, bacterial infection, parasitic infection or autoimmune disease, comprising administering pharmaceutical compositions comprising an immunogenic chimeric protein or an expression vector containing nucleic acid sequence capable of directing host organism synthesis of an immunogenic chimeric protein in a therapeutically effective amount. Again as with vaccines, multiple expression vectors may also be administered simultaneously. When provided therapeutically, the immunogenic chimeric protein or immunogenic chimeric protein-encoding expression vector is provided at (or shortly after) the onset of the infection or at the onset of any symptom of infection or disease caused by, cancer, virus, bacteria, parasites or autoimmune disease. therapeutic administration of the immunogenic chimeric protein or immunogenic chimeric protein-encoding expression vector serves to attenuate the infection or disease.

A preferred embodiment is a method of treatment comprising administering a vaccinia virus containing nucleic acid sequence encoding immunogenic chimeric protein to a mammal in therapeutically effective amount. Since vaccinia virus vectors capable of directing host organism synthesis of immunogenic chimeric protein containing tumor peptide or viral peptide have already been demonstrated to be capable of eliciting a T cell responses against these peptides (see Examples 2-5), its utility in treating disease is indicated.

The present invention also includes a method for treating cancer, viral infection, bacterial infection, parasitic infection, or autoimmune disease, comprising:

(a) immunizing mammals with an amount of immunogenic

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chimeric protein or an expression vector capable of directing host organism synthesis of immunogenic chimeric protein effective to elicit a specific T cell response;

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(b) isolating said T cells from said immunized mammal; and

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(c) administering said T cells to said immunized mammal or to an unimmunized mammal in a therapeutically effective amount.

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T cells populations reactive against the other peptide (eg tumor peptide) contained in an immunogenic chimeric protein may be isolated from a peripheral blood sample or spleen cells of a donor immunized with the immunogenic chimeric protein from about 3 to about 30 days after immunization. Epstein-Barr virus (EBV) can be used to immortalize human lymphocytes or a human fusion partner can be used to produce human-human hybridomas. Primary in vitro immunization with immunogenic chimeric protein can also be used in the generation of T cells reactive to the immunogenic peptide.

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T cells are cultured for about 7 to about 90 days (Yanelli, J.R. J. Immunol. Methods 139:1-16 (1991)) and then screened to determine the clones of the desired reactivity against the other peptide contained in the immunogenic chimeric protein using known methods of assaying T cell reactivity; T cells producing the desired reactivity are thus selected.

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The above described T cells may be used for in vivo use as treatment for individuals afflicted with cancer, viral infection, bacterial infection, parasitic infection or autoimmune diseases by administering from

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about 10<sup>7</sup> to about 10<sup>11</sup> T cells to a mammal intravenously, intraperitoneally, intramuscularly or subcutaneously. Preferred routes of administration are intravenously or intraperitoneally.

Any articles or patents referenced herein are incorporated by reference. The following examples illustrate various aspects of the invention but are in no way intended to limit the scope thereof.

## MATERIAL AND METHODS

The materials and methods used in the following examples were as follows:

Methods. The vaccinia virus (VV) constructs used in the following examples are as follows: VV-NP codes for the full-length nucleoprotein (NP) gene of the influenza virus A/Puerto Rico/8/34 (PR8) (Yewdell, J.W. et al. Proc. NatL. Acad. Sci U.S.A. 82:1785-1789 (1985); VV-NP 147-155 codes for the nine amino acid "minimal determinant" of the NP gene (Rötzschke, O. et al Nature 348:252-254 (1990)); VV-ES NP147-155 uses the nine amino acid long "minimal determinant" from the NP gene of PR8 but is preceded by the ER signal sequence from adenovirus type 5 E3/19K; VV-NP147-155 ES in which the ER signal sequence is placed downstream from the minimal determinant; VV-ES OVA 257-264 which consists of the same ER signal sequence but followed by the minimal determinant of ovalbumin; VV-ES VSV 52-59 which consists of the same ER signal sequence but followed by the minimal determinant from the nucleoprotein gene of vesicular stomatitis virus (VSV) (Van Bleek et al Nature 348:213-215 (1990) and VV-ESP1A which consists of the same ER signal sequence but followed by the P1A tumor antigen (Lethé, B., EwJ. Immunol., 22:2283-2288 (1992)). The construction of vaccinia virus constructs encoding NP, ES NP147-155, and NP147-155 have been described (Yewdell, J.W. et al (1985): Eisenlohr, L.C. et al (1992); and Wei M.L. et al (1992)). VV-ES OVA 257-264 was constructed as described for VV-ES

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0 NP147-155 with the exception that a double stranded synthetic oligonucleotide corresponding to the OVA 257-264 peptide (Carbone, F.R. et al. J. Exp. Med. 169:603-610 (1989)) was inserted into the plasmid immediately downstream of the nucleotides encoding the E3/19K leader 5 sequence with an additional Ala codon. To construct VV-NP147-155 ES, a double stranded oligonucleotide corresponding to the E3/19K ER signal sequence modified to encode a NdeI site at its 5' coding end and double stop codons at the 3' coding end was inserted into the SalI and 10 NotI sites of modified pSC11 (Eisenlohr et al (1992)). This intermediate plasmid (pSC11-ES) was then digested with SalI and NdeI, and ligated with a double stranded oligonucleotide encoding the appropriate overhangs, an initiating Met, and residues corresponding to NP 147-155. 15 VV-ES VSV 52-59 and VV-ESP1A were constructed following the protocol outlined in Example 1. Foreign genes were inserted into the VV thymidine kinase (TK) gene by homologous recombination in CV-1 cells (Chakrabarti, S. et al Mol. Cell-Biol. 5:3403-3409 (1985)), and after three 20 rounds of 3 plaque purification in the TK human 143B osteosarcoma cell line (American Type Culture Collection or ATCC) in the presence of bromodeoxyuridine, were grown in the same cells. VV-NP was produced using a plasmid that lacks the ß-galactosidase reporter gene (used to 25 identify rVVs (recombinant vaccinia viruses) with plasmid inserts after homologous recombination).

## 51Cr RELEASE ASSAY FOR T CELL ACTIVITY

Eight to 10 week old female BALB/c mice were injected intravenously (i.v.) with 5 x 10<sup>6</sup> plaque-forming units (PFU) rVVs. Six days later, spleens were removed and dispersed to single cell suspensions in Iscove's modified DMEM (IDMEM) medium with 7.5% fetal bovine serum (FBS) (Biofluids, Rockville, MD) using a Dounce homogenizer. The target cells used to assay for cytotoxicity of the splenocyte T cells were P815

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mastocytoma cells, (American Type Culture Collection or ATCC)), CT26 fibrosarcoma cells, or RMA-S tumor cells (Ljungren, H.G. et al. J. Exp. Med. 162:1745-1759 (1985)). Target cells were sensitized for lysis by antigen-specific CD8<sup>+</sup> T cells by coincubating target cells for 1 h at 37°C with  $Na^{51}CrO_4$  and with  $1\mu M$  of the peptides indicated in the appropriate Examples. HPLC-purified peptides NP 147-155, OVA 257-264, VSV 52-59 and P1A were provided by the Biological Resources Branch, NIAID, Bethesda, MD. Examples 2-4, P815 cells were infected at a multiplicity of 10 PFU/cell with wild-type VV for one hour prior to labelling for 1 hour at 37°C with Na<sup>51</sup>CrO<sub>4</sub> (<sup>51</sup>Cr) (Restifo, N.P. (1993)). Target cells (either pulsed with the appropriate peptide or infected with vaccinia virus) were incubated with splenocytes for 6 hours at 37°C at various effector to target ratios (E:T) (see Examples for specific The amount of released 51Cr was determined by gamma-counting and the percent specific lysis was calculated as follows: [(experimental cpm - spontaneous cpm) / (maximal cpm -spontaneous cpm)] X 100.

## EXAMPLE 1

Construction of a Vaccinia Virus Construct Used to Express An Immunogenic Chimeric Protein

The plasmid pSC11 (a gift of Dr. Bernard Moss, NIAID, Bethesda, Maryland) shown in Figure 1, was the starting material for construction of a plasmid containing nucleic acid sequence encoding an immunogenic chimeric protein which can be inserted into vaccinia virus via homologous recombination (Chakrabarti et al., 1985). This example describes a protocol for the production of a plasmid containing a nucleic acid sequence encoding immunogenic chimeric protein ESNP147-155, but this protocol could be readily utilized to produce plasmids encoding other immunogenic chimeric proteins.

Complementary oligonucleotides shown as SEQ ID NO: 9

AGT CGA CGA TCG CGG CCG CT

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and SEQ ID NO: 10

AGC GGC CGC GAT CGT CGA CT

were synthesized, (Surgery Branch, National Cancer Institute, Bethesda, MD) kinased and annealed together to form a double stranded DNA polylinker containing Sal I and Not I restriction sites. This polylinker DNA was then inserted into Sma I-digested pSC11 by blunt end ligation to create a pSC11 plasmid with Sal I and Not I polylinker plasmid (pSC11 linker plasmid). Complementary oligonucleotides shown as SEQ ID NO: 11

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TCG ACC ATG AGG TAC ATG ATT TTA GGC TTG CTC GCC CTT GCG GCA GTC TGC AGC GCG GCC GCC AA

and SEQ ID NO: 12

GGC CTT GGC GGC CGC GCT GCA GAC TGC CGC AAG
GGC GAG CAA GCC TAA AAT CAT GTA CCT CAT GGT GG

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were synthesized, kinased and annealed together to form a double-stranded DNA encoding the adenoviral E3/19K signal sequence plus Not I and Sty I restriction sites. This E3/19K signal sequence DNA was then subcloned into the aforementioned pSC11 linker plasmid cleaved with Sal I and Not I to create a plasmid designated E3/19K signal plasmid. Complementary oligonucleotides shown as SEQ ID NO: 13

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and SEQ ID NO: 14

CTT GGG TAC CTA TCA CAC CAG GGC CCG CGT CCG CTG GTA CGT

were synthesized, kinased, and annealed together
to form a double-stranded DNA sequence encoding the NP147155 peptide plus double stop codons and Not I and Sty I
restriction sites. The NP147-155 DNA was then subcloned
into the E3/19K signal plasmid cleaved with Not I and Sal
I. The resultant plasmid encoded ESNP147-155 and was
inserted into vaccinia virus as described to produce the
vaccinia virus construct VV-ESNP147-155.

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EXAMPLE 2

Efficacy of Vaccinia Virus Construct VV-ES NP 147-155 in Generation of a T Cell Response

To test the idea that the efficiency of antigen presentation might be optimized by the use of an immunogenic chimeric protein comprising a peptide preceded by an ER signal sequence, 5 x 10<sup>6</sup> plaque forming units (pfu) of one of the above-described vaccinia virus constructs: VV-NP, VV-ES NP 147-155, VV-NP 147-155 ES, or VV-ES OVA 257-264 were intravenously administered to mice.

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Six days following intravenous injection, mice were sacrificed and their spleens were harvested. Splenocytes were tested in a <sup>51</sup>CR-release assay for cytotoxicity against P815 target cells alone (left panels), P815 cells pulsed with synthetic peptide corresponding to NP (influenza virus nucleoprotein) amino acid residues 147-155 (middle panel) or P815 cells infected with vaccinia virus (right panels). splenocytes (ie the effector cells) derived from the immunized mice were incubated at varying ratios as indicated on the horizontal (x) axis of Figure 2 with a constant number of P815 target cells. The cytoxicity of the splenocytes towards the 51Cr-labelled target cells was measured as the % specific 51Cr release as shown on the y axis. As expected, all of the vaccinia virus constructs tested showed a similar ability to elicit a CD8<sup>+</sup> T cell response toward vaccinia virus infected P815 cells (right panel) and a similar inability to elicit a CD8+ T cell response against control uninfected P815 cells (left panel). However, only splenocytes derived from mice immunized with VV-ES NP147-155 demonstrated NP specific activity (middle panel) as shown by their ability to lyse P815 target cells preincubated with a synthetic peptide corresponding to NP residues 147-155. In addition, only splenocytes derived from mice immunized with VV-ES NP 147-155 were observed to specifically lyse influenza virus

splenocytes derived from mice immunized with VV-ES NP 147-155 were observed to specifically lyse influenza virus infected P815 cells (data not shown) at levels roughly similar to those observed with peptide NP 147-155 pulsed P815 cells (middle panel, Figure 2). Moreover, the 5 inability of splenocytes derived from mice immunized with VV-ES OVA 257-264 to specifically lyse peptide NP 147-155pulsed cells (middle panel) indicated that the enhanced immunogenicity of VV-ES NP147-155 cannot be attributed to non-specific effects of the E3/19K signal sequence. 10 Finally, the large difference between the cytotoxic activity of splenocytes derived from mice immunized with either VV-ES NP147-155 or VV-NP147-155 ES toward peptide NP 147-155-pulsed P815 cells (middle panel) indicated that the E3/19K signal sequence did not act solely by 15 increasing the hydrophobicity of the peptide.

### EXAMPLE 3

Kinetics of Response of Splenocytes Derived From Mice Immunized With Vaccinia Virus Constructs

To examine the possibility that the apparently 20 enhanced immunogenicity of VV-ES NP147-155 relative to other VV constructs is due to a difference in the kinetics of the CD8+ T cell response, mice were injected with 5 X 106 pfu of VV-ES NP147-155 (Fig. 3A) or VV-NP (Fig. 3B) and their splenocytes tested for NP-specific CD8+ T cell 25 activity between 1 and 19 d later (Figures 3A and 3B) using peptide-pulsed P815 (peptide NP147-155) target The effector to target ratio used was 200:1. NP peptide-specific activity (ie P815 cells pulsed with NP147-155 peptide designated by open triangles) was 30 observed with splenocytes obtained from mice between 5 and 9 days following immunization with VV-ES NP147-155. NP-specific activity paralleled peak VV-specific activity (closed circles, P815 cells infected with vaccinia virus). Splenocytes from VV-NP immunized mice exhibited 35 negligible NP-specific lytic activity over the entire

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course of the experiment. This result was not due to the inability of this vaccinia virus construct to elicit a CD8<sup>+</sup> T cell response, since a VV-specific response of similar magnitude to that elicited by VV-ES NP147-155 was observed.

#### EXAMPLE 4

CD8<sup>+</sup> T Cell Response Elicited in Mice Immunized With Varying Doses of Vaccinia Virus Constructs

The primary CD8+ T cell response of mice to increasing doses of VV constructs was compared (Figure 4; 10 doses given are indicated by the symbols at the bottom of the figure) (top panels use P815 target cells pulsed with peptide NP147-155 and bottom panels use P815 target cells infected with vaccinia virus). Mice were sacrificed and their spleens were harvested six days following 15 immunization. The results of the <sup>51</sup>Cr release assays show that while mice failed to mount a significant NP-specific response following injection with 5 X 106 pfu of VV-NP (left panels), immunization with 5 X 104 pfu of VV-ES NP147-155 (right panels) induced an easily detectable NP-20 specific CD8+ T cell response. The effector to target ratios assayed are shown at the bottom of the figure. Thus, VV-ES NP147-155 is at least 100 fold more efficient at inducing a primary NP-specific CD8++ cell response than the other VV construct. The anti-VV CD8+ T cell response 25 (bottom panels, P815 target cells infected with vaccinia virus) was examined at each dose of vaccinia virus constructed tested. The results shown in the bottom panels confirmed that all of the VV constructs were able to induce comparable responses, and thus, that the 30 differences in immunogenicity are related to the residues flanking the NP determinant (i.e. the ES signal sequence).

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observed for viral peptide NP 147-155 in Figure 2, 5 x 106 pfu of either VV-ESP1A, VV-P1A or VV-ESNP were administered to mice. Six days following intravenous injection, spleens were harvested and splenocytes were cultured with P1A peptide via intravenous injection for 5 six days and then were tested in a 51Cr-release assay for cytotoxicity against CT26 tumor cells (left panel), CT26 cells pulsed with P1A peptide (middle panel) or P815 cells (right panel). The source of the effector splenocytes is indicated at the bottom of Figure 5 and the 51Cr-release 10 assay was conducted at an effector to target ratio of 200:1 followed by serial two-fold dilutions. As expected, all three VV constructs tested failed to elicit a CD8+ T cell response against the CT26 target cells (left panel). In addition, splenoctyes derived from mice immunized with 15 VV-ESP1A demonstrated much greater P1A-specific activity than that observed for splenocytes derived from mice immunized with VV-P1A (middle versus right panels). These results suggest that the use of ER chimeric proteins comprising an ER signal sequence amino terminal to an 20 immunogenic peptide may be of general utility in enhancing the antigen presentation of that peptide processed via interaction with class I MHC molecules.

## EXAMPLE 6

Secondary NP-Specific Response of Splenocytes Derived From Mice Immunized With Either VV-ES NP147-155 or VV-NP

To determine if the "ES" construct (VV-ES NP147- 155) primed more efficiently for secondary responses of CD8+ T cells than did VV-NP, mice were immunized with either VV-ES NP 147-155 (circles) or VV-NP (triangles) at the following dosages (in pfu):  $5 \times 10^1$ ,  $5 \times 10^2$ ,  $5 \times 10^3$ ,  $5 \times 10^4$ ,  $5 \times 10^5$ ,  $5 \times 10^6$ . Mice were then allowed to generate a "memory" response for thirty days, at which time, mice were sacrificed and splenocytes were removed and stimulated in vitro for 7 days with influenza virus.

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The secondarily-stimulated splenocyte populations were then assayed at various dilutions (from left to right in each panel: 1:1, 1:3, 1:9, 1:27, 1:81 and 1:243) against P815 cells pulsed with peptide NP 147-155 in a 51Cr release The results show that at the lower doses tested, there was little difference between the ability of the two VV constructs to prime for secondary NP peptide-specific responses (some priming was observed with as little as 500 pfu). However, at doses of 5 x 10<sup>4</sup> pfu or higher, priming with VV-ES NP147-155 resulted in the recovery of splenocytes approximately 10 times as active as splenocytes from VV-NP primed-mice. The results demonstrate that adding a signal sequence to the minimal antigenic determinant or peptide enhances both secondary and primary NP-specific CD8+ T cell responses.

EXAMPLE 7

Administration of More Than One Recombinant Vaccinia Virus Construct in a Single Dose Elicits a T Cell Response Specific to Each Construct

In order to determine whether two different vaccinia virus constructs could elicit a CD8<sup>+</sup> cell response when administered simultaneously, mice were intravenously injected with 2x10<sup>6</sup> pfu of VV-ES VSV52-59 alone (tap panels), 5X10<sup>6</sup> pfu of VV-ES OVA257-264 alone (middle panels) or 2x10<sup>6</sup> pfu of each construct together (bottom panels) and their spleen cells were isolated. Six days following immunization, mice were sacrificed wand their spleens were harvested. The splenocytes were then assayed in a <sup>51</sup>Cr release assay (Figure 7) for their ability to lyse control RMA-S cells (left columns), RMA-S cells pulsed with peptide VSV52-59 (middle column) or RMA-S cells pulsed with peptide OVA257-264 (right columns).

The assays were conducted using a 50:1 E:T ratio (right hand most point in each panel) followed by succeeding 2-fold dilutions (i.e. 100:1, 200:1 etc.). As

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expected, none of the splenocytes tested lysed control RMA-s cells (left panels) while splenocytes derived from mice immunized with VV-ES OVA257-264 specifically lysed RNA-s cells pulsed with peptide OVA257-264 (middle row, right panel) and splenocytes derived from mice immunized with VV-ES VSV52-59 specifically lysed RNA-S cells pulsed with peptide VSV52-59 (top row, center panel). addition, splenocytes derived from mice immunized with both VV-ES OVA257-264 and VV-ES VSV52-59 demonstrated both VSV-specific lysis (bottom row, center panel) and OVAspecific lysis (bottom row, right panel) at levels comparable to those observed for splenocytes derived from mice immunized with either VV-ES VSV52-59 (top row, center panel) or VV-ESOVA 257-264 (middle row, right panel). Thus, these results demonstrated that more than one vaccinia virus construct could be administered together without any loss in their ability to stimulate specific CD8+ T cell responses to each construct.

## EXAMPLE 8

Vaccine Against Infection by P815 Tumor Cells Immunogenic chimeric proteins or vaccinia virus constructs encoding immunogenic chimeric proteins may be used to prevent cancer, infectious disease or autoimmune disease in both humans and animals. For example, female DBA/2 mice are given intravenously 104-108 pfu of vaccinia virus VV-ES P1A or 0.1 ug to 1.0 mg of the corresponding ER chimeric peptide. Three days to six months following immunization (to allow for generation of an immune response), mice are challenged intravenously or intraperitoneally or subcutaneously with 10<sup>2</sup> to 10<sup>6</sup> P815 tumor cells. Mice are then monitored for tumor development starting immediately following administration of the P815 challenge dose either by measurement of subcutaneous tumor or by mouse death or by monitoring the mice for lung and/or liver and/or spleen metastases by visual and microscopic inspection.

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EXAMPLE 9

Method Of Treatment For Mammals Having Tumor P815

Immunogenic chimeric proteins or vaccinia virus constructs encoding immunogenic chimeric proteins may be efficacious in treating mammals having cancer, infectious disease or autoimmune disease. For example, female DBA-2 mice are given 10² - 106 P815 tumor cells intravenously, intraperitoneally or subcutaneously. After one to twenty-one days have elapsed in order to allow the tumor to establish itself, the mice are given 10⁴ to 108 pfu of vaccinia virus VV-ES P1A or 0.1 ug to 1.0 mg of the corresponding ER chimeric protein. The mice are then monitored for a decrease in tumor size or for disappearance of the tumor altogether either by mouse death or by monitoring lung and/or liver and/or spleen metastases by visual or microscopic inspection.

### EXAMPLE 10

Treatment of Mammals Having P815 Tumor by Adoptive Immunotherapy

10<sup>4</sup> - 10<sup>8</sup> pfu of vaccinia virus VV-ESP1A or 0.1 ug to 0.1 mg of the corresponding immunogenic chimeric protein is given intravenously to female DBA/2 mice. From about 3 days to six months following immunization (to allow for generation of an immune response), the spleen or tumor of the mouse is harvested and the lymphocytes contained within the spleen or tumor are isolated using dounce homogenizers. These lymphocytes are then administered at  $10^7$  -  $10^{11}$  cells intravenously or intraperitoneally to a mouse having a P815 induced tumor. Treatment can occur one to 21 days following induction of a P815 tumor in mice by administering  $10^2$  -  $10^6$  P815 tumor cells to mice intravenously, intraperitoneally or subcutaneously. The treated mice are then monitored for a decrease in tumor size or for disappearance of the tumor altogether by either mouse death or by monitoring lung and/or liver and/or spleen metastases by visual or

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microscopic inspection.

As will be apparent to those skilled in the art in the light of the foregoing disclosure, many modifications, alterations and substitutions are possible in the practices of this invention without departing from the spirit or scope thereof.

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# SEQUENCE LISTING

	(1)	GENERA	L INFORMATION:
5			APPLICANTS:  (A) NAME: GOVERNMENT OF THE UNITED STATES OF AMERICA, AS REPRESENTED BY THE SECRETARY, DEPARTMENT OF HEALTH AND HUMAN SERVICES  (B) STREET: NATIONAL INSTITUTE OF HEALTH, OFFICE OF TECHNOLOGY TRANSFER, BOX OTT  (C) CITY: BETHESDA  (D) STATE OF PROVINCE: MARYLAND  (E) COUNTRY: UNITED STATES OF AMERICA  (F) POSTAL CODE: 20892
15		(ii)	
15		(iii)	NUMBER OF SEQUENCES: 14
20		(iv)	CORRESPONDENCE ADDRESS: (A) ADDRESSEE: MORGAN & FINNEGAN (B) STREET: 345 PARK AVENUE (C) CITY: NEW YORK (D) COUNTRY: U.S.A. (E) ZIP: 10154
25		(v)	COMPUTER READABLE FORM:  (A) MEDIUM TYPE: Diskette  (B) COMPUTER: IBM PC COMPATIBLE  (C) OPERATING SYSTEM: PC-DOS/MS-DOS  (D) SOFTWARE: WORD PERFECT 5.1
23		(vi)	(A) APPLICATION NUMBER: (B) FILING DATE: 17-MAR-1994
30			PRIOR APPLICATION DATA:  (A) APPLICATION NUMBER: US 08/032,902  (B) FILING DATE: 17-MAR-1993
		(viii)	ATTORNEY/AGENT INFORMATION: (A) NAME: WILLIAM S. FEILER (B) REGISTRATION NUMBER: 26,728 (C) REFERENCE/DOCKET NUMBER: 2026-4069 PCT
35		(ix)	TELECOMMUNICATION INFORMATION: (A) TELEPHONE: 212-758-4800 (B) TELEFAX: 212-751-6849

- 32 -

(C) TELEX: 421792 (2) INFORMATION FOR SEQ ID NO:1: SEOUENCE CHARACTERISTICS: LENGTH: 17 amino acid residues (A) TYPE: amino acid (B) 5 STRANDEDNESS: unknown (C) (D) TOPOLOGY: unknown (xi)SEQUENCE DESCRIPTION: SEQ ID NO:1: Met Arg Tyr Met Ile Leu Gly Leu Leu Ala Leu Ala Val 10 Cys Ser Ala 10 15 (2) INFORMATION FOR SEQ ID NO:2: SEQUENCE CHARACTERISTICS: (A) LENGTH: 10 amino acid residues (B) TYPE: amino acid 15 (C) STRANDEDNESS: unknown (D) TOPOLOGY: unknown (xi) SEQUENCE DESCRIPTION: SEQ ID NO:2: Ser Gly Pro Ser Asn Thr Pro Pro Glu Ile 20 (2) INFORMATION FOR SEQ ID NO:3: SEQUENCE CHARACTERISTICS: LENGTH: 9 amino acid residues (A) (B) TYPE: amino acid (C) STRANDEDNESS: unknown (D) TOPOLOGY: unknown 25 (xi) SEQUENCE DESCRIPTION: SEO ID NO:3: Ser Glu Phe Leu Leu Glu Lys Arg Ile INFORMATION FOR SEQ ID NO:4: (2) 30 SEOUENCE CHARACTERISTICS: (i) (A) LENGTH: 9 amino acid residues (B) TYPE: amino acid (C) STRANDEDNESS: unknown (D) TOPOLOGY: unknown (xi) SEQUENCE DESCRIPTION: SEQ ID NO:4: 35

- 33 -

Phe Leu Arg Gly Arg Ala Tyr Gly Ile (2) INFORMATION FOR SEQ ID NO:5: SEQUENCE CHARACTERISTICS: LENGTH: 9 amino acid residues (A) 5 TYPE: amino acid (B) STRANDEDNESS: unknown (C) TOPOLOGY: unknown (D) SEQUENCE DESCRIPTION: SEQ ID NO:5: Thr Tyr Gln Arg Thr Arg Ala Leu Val 5 1 10 (2) INFORMATION FOR SEQ ID NO:6: (i)SEQUENCE CHARACTERISTICS: LENGTH: 9 amino acid residues (A) TYPE: amino acid (B) (C) STRANDEDNESS: unknown 15 TOPOLOGY: unknown (D) (xi) SEQUENCE DESCRIPTION: SEQ ID NO:6: Leu Pro Tyr Leu Gly Trp Leu Val Phe (2) INFORMATION FOR SEQ ID NO:7: 20 SEQUENCE CHARACTERISTICS: LENGTH: 27 amino acid residues (A) TYPE: amino acid (B) STRANDEDNESS: unknown (C) (D) TOPOLOGY: unknown SEQUENCE DESCRIPTION: SEQ ID NO:7: (xi)25 Met Arg Tyr Met Ile Leu Gly Leu Leu Ala Leu Ala Val Cys Ser Ala Ala Leu Pro Tyr Leu Gly Trp Leu Val Phe 15 20 INFORMATION FOR SEQ ID NO:8: (2) 30 SEQUENCE CHARACTERISTICS: LENGTH: 90 base pairs (A) TYPE: nucleic acid (B) (C) STRANDEDNESS: single (D) TOPOLOGY: linear SEQUENCE DESCRIPTION: SEQ ID NO:8: 35

ACCACCATGT ACATGATTTT AGGCTTGCTC GCCCTTGCGG

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0	CAGTCTGCAG	CGCGGCCCTG CCTTATCTAG GGTGGCTGGT	80
	CTTCTGATAG	A. Carterina de la Carterina d	90
	(2) INFO	RMATION FOR SEQ ID NO:9:	
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	AGCGGCCGCG	ATCGTCGACT	20
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25	(xi)	SEQUENCE DESCRIPTION: SEQ ID NO:11:	
<i>4.</i>	TCGACCACCA	TGAGGTACAT GATTTTAGGC TTGCTCGCCC	40
	TTGCGGCAGT	CTGCAGCGCG GCCGCCGCA A	71
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	(xi)	SEQUENCE DESCRIPTION: SEQ ID NO:12:	
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- 35 -

0			
	AGCAAGCCTA	AAATCATGTA CCTCATGGTG G	71
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10	CC		42
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15	(i)	SEQUENCE CHARACTERISTICS: (A) LENGTH: 42 base pairs (B) TYPE: nucleic acid (C) STRANDEDNESS: single (D) TOPOLOGY: linear	
	(xi)	SEQUENCE DESCRIPTION: SEQ ID NO:14:	
	CTTGGGTACC	TATCACACCA GGGCCCGCGT CCGCTGGTAC	40
	GT		42
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### CLAIMS

- 1. An immunogenic chimeric protein comprising:
  - (a) an endoplasmic reticulum signal sequence peptide; and

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- (b) at least one other peptide selected from the group consisting of tumor peptides, bacterial peptides, parasitic peptides, and autoimmune disease peptides.
- 2. The chimeric protein according to claim 1, wherein said endoplasmic reticulum signal sequence peptide has a sequence according to SEQ. ID NO: 1.
  - 3. The chimeric protein according to claim 1, wherein said other peptide is a tumor peptide.
- 4. The chimeric protein according to claim 1, wherein said other peptide is a bacterial peptide.
  - 5. The chimeric protein according to claim 1, wherein said other peptide is a parasitic peptide.
  - 6. The chimeric protein according to claim 1, wherein said other peptide is an autoimmune disease peptide.
    - 7. An immunogenic chimeric protein comprising:

      (a) an endoplasmic signal sequence

peptide; and

- (b) a viral peptide according to SEQ ID NO: 4.
- 8. The chimeric protein according to claim 7, wherein said endoplasmic reticulum signal sequence peptide has a sequence according to SEQ ID NO: 1.
- 9. A nucleic acid sequence capable of directing host organism synthesis of immunogenic chimeric protein, said sequence comprising:
  - (a) an endoplasmic reticulum signal sequence; and
    - (b) a sequence encoding a tumor peptide.

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10. The nucleic acid sequence according to claim 9, wherein said sequence is shown as SEQ. ID NO: 6.

- 11. A nucleic acid sequence capable of directing host organism synthesis of immunogenic chimeric protein, said sequence comprising:
- (a) an endoplasmic reticulum signal sequence; and
  - (b) a sequence encoding a viral peptide.
- 12. An expression vector comprising a nucleic acid sequence according to claim 9.
- 13. The expression vector according to claim12, wherein said vector includes a vaccinia virus.
- 14. A method of immunization comprising administering a chimeric protein of claim 1 in an amount effective to elicit T cell response to said other peptide.
- 15. The method according to claim 14, wherein said chimeric protein includes a tumor peptide.
- 16. The method according to claim 14, wherein said chimeric protein includes a bacterial peptide.
- 17. The method according to claim 14, wherein said chimeric protein includes a parasitic peptide.
- 18. The method according to claim 14, wherein said chimeric peptide includes an autoimmune disease peptide.
- 25 administering a nucleic acid sequence according to claim 9 in an amount effective to elicit a T cell response to said tumor peptide.
  - 20. A method of immunization comprising administering a nucleic acid sequence according to claim 11 in an amount effective to elicit a T cell response to said viral peptide.
    - 21. A vaccine for immunizing a mammal comprising a chimeric protein according to claim 1 in a pharmaceutically acceptable carrier.
      - 22. A vaccine for immunizing a mammal

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comprising a chimeric protein according to claim 7 in a pharmaceutically acceptable carrier.

- 23. A vaccine for immunizing a mammal comprising a recombinant expression vector according to claim 12.
- 24. A pharmaceutical composition comprising a chimeric protein of claim 1.
- 25. The composition of claim 24, wherein said composition is a vaccine.
- 26. A pharmaceutical composition comprising the recombinant expression vector of claim 12.
  - 27. The composition of claim 26, wherein said composition is a vaccine.
- A pharmaceutical composition comprising a chimeric protein of claim 7.
- 29. The composition of claim 28, wherein said composition is a vaccine.
- 30. An in vivo method for treating cancer, viral infection, bacterial infection, parasitic infection, or autoimmune disease in a mammal, comprising:
- (1) providing an immunogenic chimeric protein comprising an ER signal sequence peptide and at least one other peptide; and
- injecting said immunogenic chimeric protein in said mammal thereby T cell response is elicited to said other peptide.
  - 31. The method according to claim 30 wherein said other peptide is a tumor peptide.
  - The method according to claim 30 wherein said other peptide is a bacterial peptide.
  - 33. The method according to claim 30 wherein said other peptide is a parasite peptide.
  - The method according to claim 30, wherein said other peptide is an autoimmune peptide.
- 35 35. The method according to claim 30, wherein

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said other peptide is a viral peptide.

36. A kit for use in the prevention or treatment of cancer, viral infection, bacterial infection, parasitic infection, or autoimmune disease in a mammal, comprising the pharmaceutical composition of claims 24, 26 or 28.

37. A method for treating cancer, viral infection, bacterial infection, parasitic infection, or autoimmune disease in a mammal, comprising:

(a) providing an immunogenic chimeric protein comprising an ER signal sequence peptide and at least one other peptide;

- (b) injecting said chimeric protein in said mammal whereby T cell response is elicited against said other peptide;
- (c) isolating said T cells from said immunized mammals; and
- (d) administering said T cells to said immunized mammal or to an unimmunized mammal in a therapeutically effective amount.
- 38. The method according to claim 37, wherein said other peptide is a tumor peptide.
- 39. The method according to claim 37, wherein said other peptide is a bacterial peptide.
- 40. The method according to claim 37, wherein said other peptide is a parasite peptide.
- 41. The method according to claim 37, wherein said other peptide is an autoimmune disease peptide.
- 42. The method according to claim 37, wherein said other peptide is a viral peptide.

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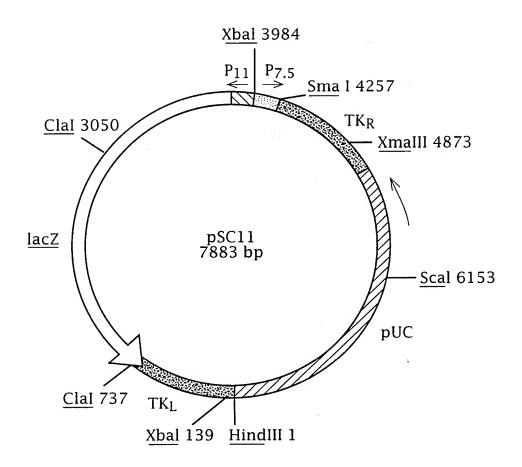
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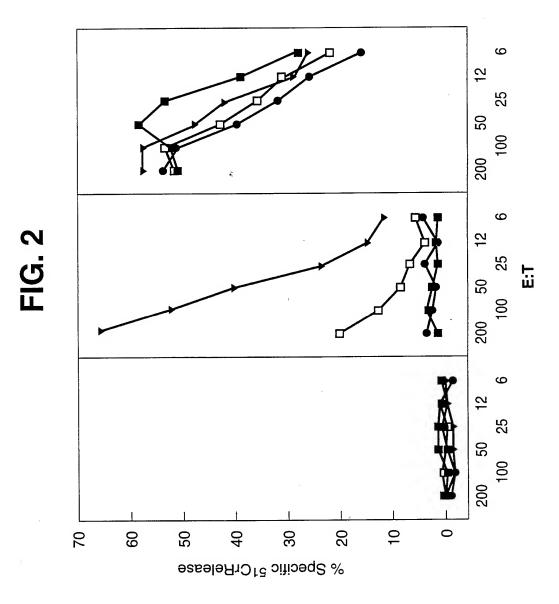
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FIG. 1

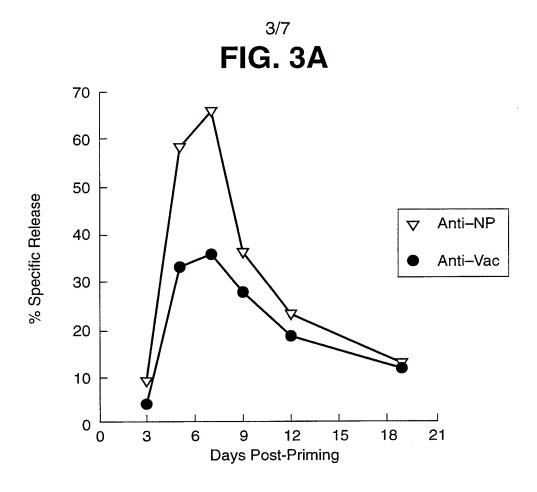


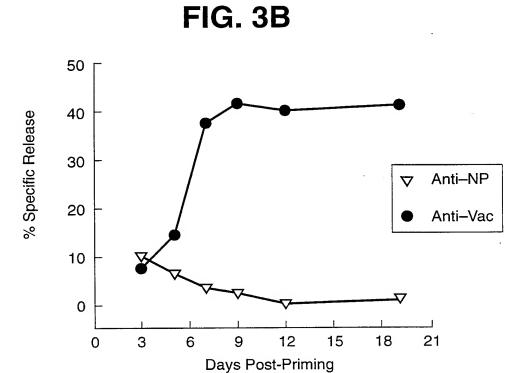




RECTIFIED SHEET (RULE 91)

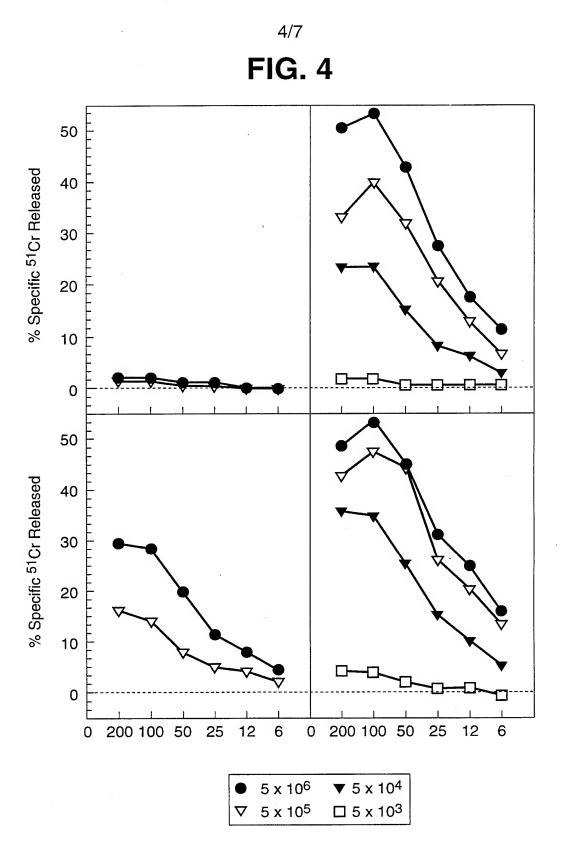
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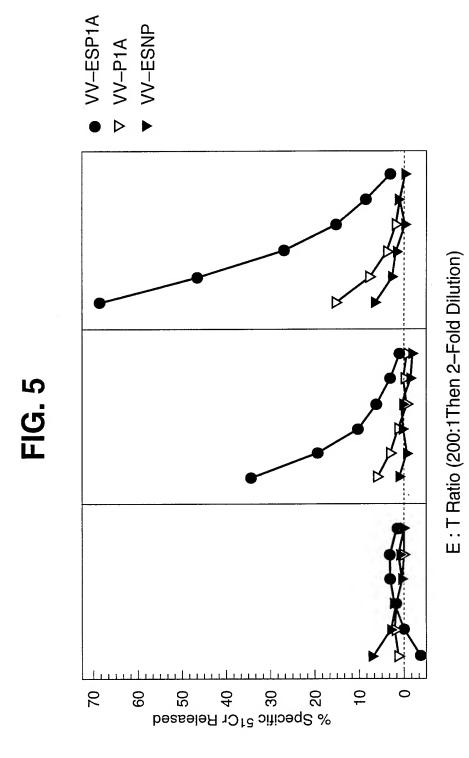
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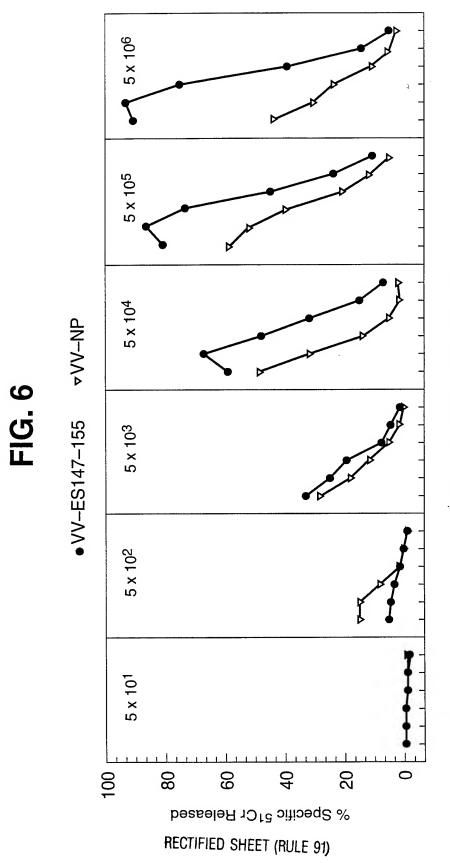


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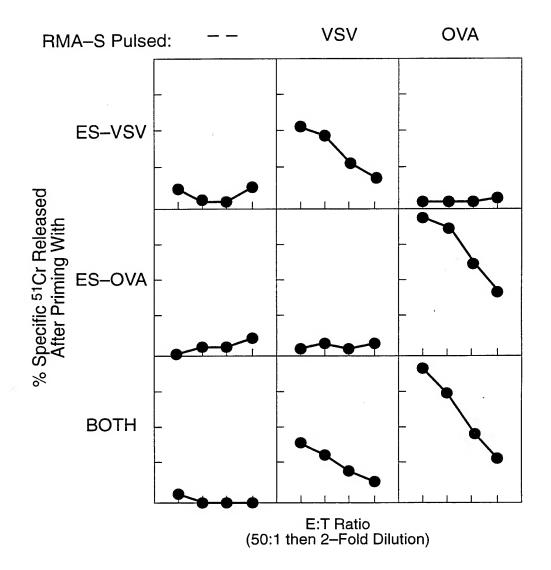


Log<sub>3</sub> Dilution of Secondary Culture

(1:1, 1:3, 1:9 1:27, 1:81, 1:243)

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FIG. 7



# INTERNATIONAL SEARCH REPORT

International application No. PCT/US 94/02897

G. 61 + 65						
	IFICATION OF SUBJECT MATTER  OF K 13/00, C 12 N 15/11, C 12 I	N 15/86,A 61 K 39/00	ı.			
According to International Patent Classification (IPC) or to both national classification and IPC						
1	SEARCHED		· · · · · · · · · · · · · · · · · · ·			
Minimum d	ocumentation searched (classification system followed by classific	ation symbols)				
CO	7 K 13/00,C 07 K 15/00,C 07 F A 61 K 37/00,A 61 K 39/00	X 17/00,C 12 N 15/00	,			
	ata base consulted during the international search (name of data b		earched			
	ENTS CONSIDERED TO BE RELEVANT					
Category *	Citation of document, with indication, where appropriate, of the	relevant passages	Relevant to claim No.			
A, P	CHEMICAL ABSTRACTS, vol. no. 11, issued 1993, September 13 (Columbus, Ohio, USA) GODELAINE, D. et al. "Presentation of mous P91A antigen from chi proteins with differe subcellular localizat class I molecules of major histocompatibil complex", page 757, column 1, abstract no. 115 317b Eur. J. Immunol. 1993 23(7), 1731-4 (Eng).  EP, A2, 0 271 003	se tum meric ent tions by the ity	1			
	(CIBA GEIGY)		1,13			
Furth	ier documents are listed in the continuation of box C.	Patent family members are listed in	n annex.			
Special categories of cited documents:  A document defining the general state of the art which is not considered to be of particular relevance  E earlier document but published on or after the international filing date  L document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)  O document referring to an oral disclosure, use, exhibition or other means  P document published prior to the international filing date but later than the priority date claimed  Date of the actual completion of the international search		To later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention.  The document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone.  The document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.  The document member of the same patent family.  Date of mailing of the international search report.				
Name and m	European Patent Office, P.B. 5818 Patentiaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Fax: (+31-70) 340-3016	Authorized officer  SCHARF e.h.				

International application No.
PCT/US 94/02897

	uon) DOCUMENTS CONSIDERED TO BE RELEVANT	
акедогу *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	15 June 1988 (15.06.88), claims 1,10,17,18 WO, A1, 93/02 690 (THE GENERAL HOSPITAL CORPORATION)	14-42
	18 February 1993 (18.02.93), claims 1,6.	
		141
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# INTERNATIONAL SEARCH REPORT

International application No. PCT/US 94/02897

Box I	Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)
This into	ernational search report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:
i. X 2.	Claims Nos.: 14-20,30-35,37-42 because they relate to subject matter not required to be searched by this Authority, namely:  Remark: Although claims 14-20, 30-35 and 37-42 are directed to methods of treatment of the human or animal body, the search has been carried out and based on the alleged effects of the compounds.  (Rule 39.1(iv) PCT)  Claims Nos.: because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:
3.	Claims Nos.: because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).
Box II	Observations where unity of invention is lacking (Continuation of item 2 of first sheet)
	ernational Searching Authority found multiple inventions in this international application, as follows:
1.	As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.
2.	As all searchable claims could be searches without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3.	As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:
	No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:
Remark o	The additional search fees were accompanied by the applicant's protest.  No protest accompanied the payment of additional search fees.

#### ANHANG

# ANNEX

### ANNEXE

zum internationalen Recherchenbericht über die internationale Patentanmeldung Nr.

to the International Search Report to the International Patent Application No.

au rapport de recherche inter-national relatif à la demande de brevet international n°

# PCT/US 94/02897 SAE 87730

In diesem Anhang sind die Mitglieder der Patentfamilien der im obengenannten internationalen Recherchenbericht cited in the above-mentioned interangeführten Patentdokumente angegeben. Diese Angaben dienen nur zur Unterrichtung und erfolgen ohne Gewähr.

This Annex lists the patent family members relating to the patent documents membres de la famille de brevets national search report. The Office is in no way liable for these particulars which are given merely for the purpose of information.

La présente annexe indique les relatifs aux documents de brevets cités >> dans le rapport de recherche international visée ci-dessus. Les reseignements fournis sont donnés à titre indicatif et n'engagent pas la responsibilité de l'Office.

Im Recherchenbericht angeführtes Patentdokument Patent document cited in search report Document de brevet cité dans le rapport de recherche		Datum der Veröffentlichung Publication date Date de publication	Mitglied(er) der Patentfamilie Patent family member(s) Membre(s) de la famille de brevets	Datum der Veröffentlichung Publication date Date de publication	
EP A2	271003	15-06-88	AU A1 82091/87 AU B2 621281 CA A1 1310401 DK A0 6381/87 DK A 6381/87 DK A 6381/87 DK A 6381/87 EP A1 277313 EP A3 2715324 FI A 8429153 GB A0 8715890 GB A0 8715890 IL A1 609369 IL A2 200581 JP A2 875069 IL A2 200581 JP A2 875069 NC A 8775069 NC A 88775069	16-06-88 12-03-92 24-11-92 04-12-87 04-12-87 04-12-88 06-06-88 10-10-88 11-10-88 11-10-88 11-10-88 11-10-88 11-10-88 11-10-89 04-01-89 12-05-88 11-98	
WO AI	9302690	18-02-93	EP A1 600930	150694	